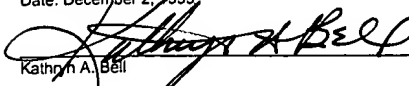


#3

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D. C. 20231.
Date: December 2, 1999.




Kathryn A. Bell

PATENT
36856.226

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Takaaki ASADA Serial No.: 09/421,434 Filing Date: October 19, 1999 For: METHOD FOR SCREENING PIEZOELECTRIC TRANSFORMER DEVICE	Art Unit: 2832 Examiner: Not Assigned
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TRANSMITTAL LETTER

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of Application, Filing Date
Granted, mailed November 18, 1999, enclosed please find the following:

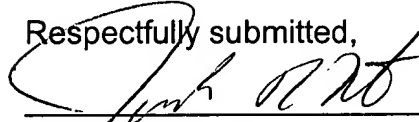
1. Copy of the Notice To File Missing Parts;
2. Check in the amount of \$130.00; and
3. Combined Declaration and Power of Attorney signed by the inventor(s).

Serial No. 09/421,434
October 19, 1999
Page 2

The Commissioner of Patents is authorized to charge any amount due, or credit any overpayment, to Deposit Account No. 07-1853. A duplicate copy of this paper is enclosed.

Date: December 2, 1999

Respectfully submitted,



Joseph R. Keating
Attorney for Applicant(s)
Registration No. 37,368

GRAHAM & JAMES LLP
801 So. Figueroa St., 14th Fl.
Los Angeles, CA 90017-5554
Telephone: (213) 624-2500

Sector \$
UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST-NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/421,434	10/19/99	ASADA	T 36856.00226

0242/1118

GRAHAM & JAMES LLP
801 S FIGUEROA STREET

NOT ASSIGNED

LOS ANGELES CA 90017-5554

2832

DATE MAILED:

11/18/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or **\$130.00** for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☒ small entity (statement filed) ☐ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Custom & Service Center
Initial Patent Examination Division (703) 308-1202

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